

Wyoming Board of Certified Public Accountants

2020 Carey Avenue, Suite 702, Cheyenne, WY 82002

(307) 777-7551

<http://cpaboard.state.wy.us>

Self Reporting Certificate

Name: _____

(Please print or type)

Chapter 3, Section 6 of Board Rules and Regulations requires all certificate holders to report certain convictions, judgments and disciplinary actions upon certificate renewal when those actions have not been previously reported. The following events must be reported:

- A. Any conviction or finding of guilt, or plea of nolo contendere to:
 - i. A felony;
 - ii. Any crime an element of which is dishonesty or fraud; or
 - iii. The denial, cancellation, revocation, suspension or refusal to renew the authority to practice as a certified public accountant by any state, federal agency, or foreign authority, for any cause other than failure to pay a fee.

- B. The imposition of a censure, reprimand, sanction, probation, civil penalty, fine, consent decree or order, by any state board of accountancy, the Securities Exchange Commission, Public Company Accounting Oversight Board, Internal Revenue Service, or foreign regulatory body that regulates the practice of public accountancy.

- C. Any fully adjudicated judgment or settlement in a civil suit or arbitration proceeding entered after July 1, 2005 totaling more than \$150,000 and based upon an allegation of dishonesty, fraud, or gross negligence in the practice of accounting, or any action that would constitute a violation of the rules of professional conduct promulgated by the Board. Notification under this section shall apply only to actions directly involving a certificate or permit holder's practice of accounting in Wyoming.

Do you need to report any such actions? Yes No.

If yes, please download the Self Reportable Events form from the Board's website at <http://cpaboard.state.wy.us>. Please complete and sign the form and submit it to the Board office.

If no, please sign and date this form and return it to the Board office at the address listed above.

If you are submitting this form in lieu of the Annual Inactive Invoice, please remit fees as follows:

- If paid before December 31st, \$110; or
- If paid and/or postmarked after December 31st, \$135
- Plus applicable notice fees if assessed per certified mail - \$50 per instance.

I hereby certify, under penalty of perjury, to the truth and accuracy of all answers in this certification and certify that I do not provide accounting services as defined by W.S. § 33-3-109 (c) and Chapter 1, Section 2 (dd) of Board Rules and Regulations, or, alternatively, the Board has approved my request to retain inactive status.

Signature: _____ *Date:* _____